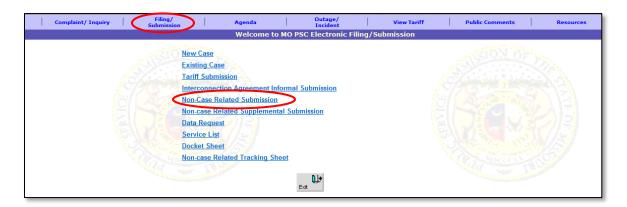
EFIS - Submit ETC Informational Filing

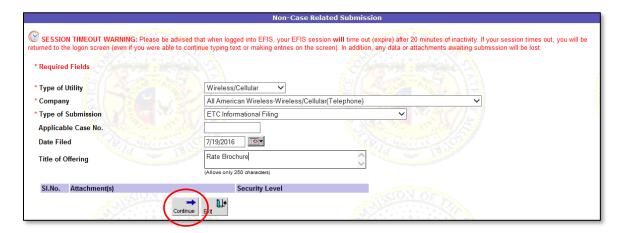
To submit an ETC Informational Filing:

- 1. Log on to EFIS.
- 2. From the Welcome screen, click the 'Filing/Submission' menu option
- 3. Select the 'Non-Case Related Submission' link to continue to the *Non-Case Related Submission* screen.



On the Non-Case Related Submission screen, complete the following steps:

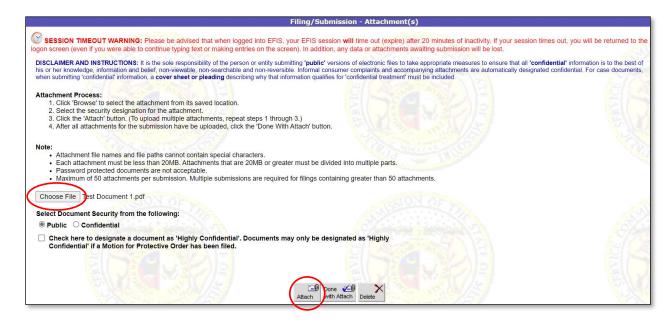
- 4. In the 'Type of Utility' drop-down list, select the applicable utility type.
- 5. In the 'Company' drop-down list, select the company for which the user is filing the report for.
 - The list provided will contain only those companies for which the user is designated as a contact. If the user does not see the company in their list, contact the company's official representative or notify the Data Center and ask them to add the user as a contact.
- 6. In the 'Type of Submission' drop-down list, select 'ETC Informational Filing'.
- 7. In the 'Applicable Case Number' field, input the applicable case number if the submission is related to an existing case. If not, leave blank.
- 8. In the 'Date Filed' field, do not change the date as it defaults to the current date.
- 9. In the 'Title of Offering' field, input the title of the offering.
- 10. Click the 'Continue' button to continue to the Filing/Submission Attachment(s) screen.



EFIS - Submit ETC Informational Filing

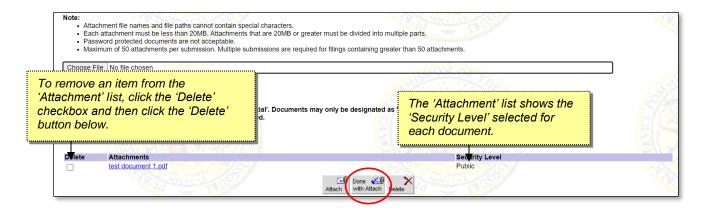
On the Filing/Submission – Attachment(s) screen, complete the following steps:

- 11. Click the 'Choose File' button to upload documents.
 - File names and file paths cannot contain special characters (%'&^*#@) except an underscore or hyphen.
 - Attachments must be less than 20MB.
 - Password protected documents are not acceptable.
 - Maximum of 50 attachments per submission.
 - PDF documents are preferred.
 - Zip files are not permitted.
- 12. Select the appropriate document security option using the radio buttons. If deemed highly confidential, check the box 'Check here to designate...' to select the 'Highly Confidential' radio button option.
 - It is the filer's responsibility to denote the correct security level for each attachment.
 - The 'Highly Confidential' option will only appear if a case number has been entered on the previous screen.
- 13. Click the 'Attach' button to upload the document.
 - Multiple attachments can be made by selecting a document; selecting the security level; and then clicking the 'Attach' button.

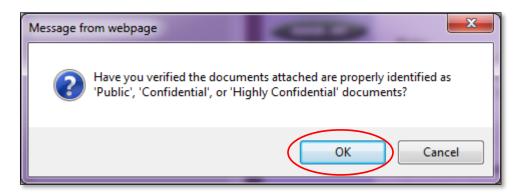


14. Click the 'Done with Attach' button after all the attachments have been uploaded.

EFIS - Submit ETC Informational Filing

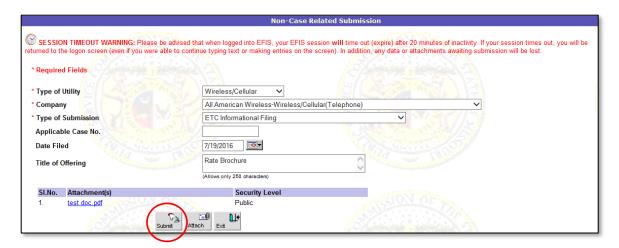


15. Verify that the security level of the attachment(s) is correct by clicking the '**OK**' button. (If it's not correct, click 'Cancel' to make corrections.)



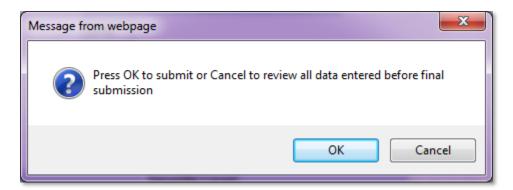
On the Non-Case Related Submission screen, scroll down to the bottom of the screen to proceed.

16. To finalize the submission, click the 'Submit' button.



EFIS - Submit ETC Informational Filing

17. Click the '**OK**' button to submit the filing, or click the '**Cancel**' button to make changes to the submission.



- 18. A submission confirmation message will appear on the screen.
- 19. Click the 'OK' button to return to the Filing/Submission screen.



NOTE: If the user is asked to provide additional information or needs to file amended information, please submit that information as a 'Non-Case Related Supplemental Submission' in EFIS using the tracking number from the original ETC submission. Refer to the EFIS '**HELP**' topic '**Submit Supplemental ETC Non Case Related Supplemental Submission**.

For additional assistance, please contact the Data Center at 573-751-7496 or dcsupport@psc.mo.gov.